

12-27-99

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PTO/SB/05 (12/97)

Approved for use through 09/30/00. OMB 0651-0032

Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No. 042390.P7178Total Pages 5First Named Inventor or Application Identifier John W. Horigan et al.Express Mail Label No. EL431890268US

ADDRESS TO: Assistant Commissioner for Patents
 Box Patent Application
 Washington, D. C. 20231

APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

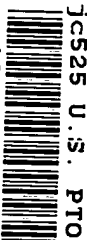
1. X Fee Transmittal Form
(Submit an original, and a duplicate for fee processing)
2. X Specification (Total Pages 15)
(preferred arrangement set forth below)
 - Descriptive Title of the Invention
 - Cross References to Related Applications
 - Statement Regarding Fed sponsored R & D
 - Reference to Microfiche Appendix
 - Background of the Invention
 - Brief Summary of the Invention
 - Brief Description of the Drawings (if filed)
 - Detailed Description
 - Claims
 - Abstract of the Disclosure
3. X Drawings(s) (35 USC 113) (Total Sheets 2)
4. X Oath or Declaration (Total Pages 5)
 - a. X Newly Executed (Original or Copy)
 - b. Copy from a Prior Application (37 CFR 1.63(d))
(for Continuation/Divisional with Box 17 completed) (**Note Box 5 below**)
 - i. **DELETIONS OF INVENTOR(S)** Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).
5. Incorporation By Reference (useable if Box 4b is checked)
The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied under Box 4b, is considered as being part of the disclosure of the accompanying application and is hereby incorporated by reference therein.
6. Microfiche Computer Program (Appendix)
7. Nucleotide and/or Amino Acid Sequence Submission
(if applicable, all necessary)
 - a. Computer Readable Copy
 - b. Paper Copy (Identical to computer copy)
 - c. Statement verifying identity of above copies

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09470092-122199

ACCOMPANYING APPLICATION PARTS

8. ☒ Assignment Papers (cover sheet & documents(s))
9. ☐ a. 37 CFR 3.73(b) Statement (where there is an assignee)
- ☐ b. Power of Attorney
10. ☐ English Translation Document (if applicable)
11. ☐ a. Information Disclosure Statement (IDS)/PTO-1449
- ☐ b. Copies of IDS Citations
12. ☐ Preliminary Amendment
13. ☒ Return Receipt Postcard (MPEP 503) (Should be specifically itemized)
14. ☐ a. Small Entity Statement(s)
- ☐ b. Statement filed in prior application, Status still proper and desired
15. ☐ Certified Copy of Priority Document(s) (if foreign priority is claimed)
16. ☒ Other: Express Certificate of Mailing
- _____
- _____
- _____

17. If a **CONTINUING APPLICATION**, check appropriate box and supply the requisite information:
- ☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP)
- of prior application No:

18. Correspondence Address

☐ Customer Number or Bar Code Label _____

or

(Insert Customer No. or Attach Bar Code Label here)

☒ Correspondence Address Below

NAME David Kaplan

BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP

ADDRESS 12400 Wilshire Boulevard

Seventh Floor

CITY Los Angeles STATE California ZIP CODE 90025-1026

Country U.S.A. TELEPHONE (408) 720-8598 FAX (408) 720-9397

EXPRESS MAIL CERTIFICATE OF MAILING

"Express Mail" mailing label number: EL431890268US

Date of Deposit: 12-21-99

I hereby certify that I am causing this paper or fee to be deposited with the United States Postal Service "Express Mail Post Office to Addressee" service on the date indicated above and that this paper or fee has been addressed to the Assistant Commissioner for Patents, Washington, D. C. 20231

Jolene MacGregor
(Typed or printed name of person mailing paper or fee)

Jolene MacGregor
(Signature of person mailing paper or fee)

12-21-99
(Date signed)

Serial/Patent No.: <u>***</u>	Filing/Issue Date: <u>***</u>
Client: <u>Intel Corporation</u>	
Title: <u>Method and Apparatus for Encoding Information in an IC Package</u>	
BSTZ File No.: <u>042390.P7178</u>	Atty/Secty Initials: <u>DK/jm</u>
Date Mailed: <u>December 21, 1999</u>	Docket Due Date: _____
The following has been received in the U.S. Patent & Trademark Office on the date stamped hereon:	
<input checked="" type="checkbox"/> Amendment/Response (<u>15</u> pgs.) <input type="checkbox"/> Appeal Brief (____ pgs.) (in triplicate) <input type="checkbox"/> Application - Utility (____ pgs., with cover and abstract) <input type="checkbox"/> Application - Rule 1.53(b) Continuation (____ pgs.) <input type="checkbox"/> Application - Rule 1.53(b) Divisional (____ pgs.) <input type="checkbox"/> Application - Rule 1.53(b) CIP (____ pgs.) <input checked="" type="checkbox"/> Application - Rule 1.53(d) CPA Transmittal (____ pgs.) <input type="checkbox"/> Application - Design (____ pgs.) <input type="checkbox"/> Application - PCT (____ pgs.) <input type="checkbox"/> Application - Provisional (____ pgs.) <input checked="" type="checkbox"/> Assignment and Cover Sheet <input checked="" type="checkbox"/> Certificate of Mailing <input checked="" type="checkbox"/> Declaration & POA (<u>5</u> pgs.) <input checked="" type="checkbox"/> Disclosure Docs & Orig & Copy of Invents Signed Letter (____ pgs.) <input checked="" type="checkbox"/> Drawings: <u>2</u> # of sheets includes <u>3</u> figures	<input checked="" type="checkbox"/> Express Mail No. <u>EL431890268US</u> <input type="checkbox"/> Check No. <u>32281</u> <input type="checkbox"/> _____ Month(s) Extension of Time Amt: <u>\$760.00</u> <input type="checkbox"/> Information Disclosure Statement & PTO 449 (____ pgs.) <input type="checkbox"/> Check No. <u>32282</u> <input type="checkbox"/> Issue Fee Transmittal Amt: <u>\$40.00</u> <input type="checkbox"/> Notice of Appeal <input type="checkbox"/> Petition for Extension of Time <input type="checkbox"/> Petition for _____ <input checked="" type="checkbox"/> Postcard <input type="checkbox"/> Power of Attorney (____ pgs.) <input type="checkbox"/> Preliminary Amendment (____ pgs.) <input type="checkbox"/> Reply Brief (____ pgs.) <input type="checkbox"/> Response to Notice of Missing Parts <input type="checkbox"/> Small Entity Declaration for Indep. Inventor/Small Business <input checked="" type="checkbox"/> Transmittal Letter, in duplicate <input checked="" type="checkbox"/> Fee Transmittal, in duplicate
<input type="checkbox"/> Other: _____	

jc525 U.S. PTO
 09/470092
 12/21/99

FEE TRANSMITTAL FOR FY 1999

TOTAL AMOUNT OF PAYMENT (\$) 800.00

Complete if Known:

Application No. _____

Filing Date _____

First Named Inventor John W. Horigan et al.

Group Art Unit _____

Examiner Name _____

Attorney Docket No. 042390.P7178

METHOD OF PAYMENT (check one)

1. ☐ The Commissioner is hereby authorized to charge indicated fees and credit any over payments to:

Deposit Account Number _____

Deposit Account Name _____

- ☒ Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17

2. ☒ Payment Enclosed:

☒ Check

☐ Money Order

☐ Other

FEE CALCULATION

1. BASIC FILING FEE

Large Entity		Small Entity		Fee Description	Fee Paid
Code	Fee (\$)	Code	Fee (\$)		
101	760	201	380	Utility application filing fee	\$760.00
106	310	206	155	Design application filing fee	
107	480	207	240	Plant filing fee	
108	760	208	380	Reissue filing fee	
114	150	214	75	Provisional application filing fee	

SUBTOTAL (1) \$ 760.00

2. EXTRA CLAIM FEES

Fee Paid		Extra Claims		Fee from below	
Total Claims	<u>18</u>	- 20**	= <u>0</u>	X \$18.00	= <u>0</u>
Independent Claims	<u>3</u>	- 3**	= <u>0</u>	X \$78.00	= <u>0</u>
Multiple Dependent					= <u>0</u>

**Or number previously paid, if greater; For Reissues, see below.

Large Entity		Small Entity		Fee Description	Fee Paid
Code	Fee (\$)	Code	Fee (\$)		
103	18	203	9	Claims in excess of 20	
102	78	202	39	Independent claims in excess of 3	
104	260	204	130	Multiple dependent claim, if not paid	
109	78	209	39	**Reissue independent claims over original patent	
110	18	210	9	**R issue claims in excess of 20 and over original patent	

SUBTOTAL (2) \$ 0

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Patent fees are subject to annual revisions. Small Entity payments must be supported by a small entity statement, otherwise large entity fees must be paid.

See Forms PTO/SB/09-12

FEE CALCULATION (continued)**3. ADDITIONAL FEES**

<u>Large Entity</u>		<u>Small Entity</u>		<u>Fee Description</u>	<u>Fee Paid</u>
<u>Fee Code</u>	<u>F (\$)</u>	<u>Fee Code</u>	<u>Fee (\$)</u>		
105	130	205	65	Surcharge - late filing fee or oath	
127	50	227	25	Surcharge - late provisional filing fee or cover sheet	
139	130	139	130	Non-English specification	
147	2,520	147	2,520	For filing a request for reexamination	
112	920*	112	920*	Requesting publication of SIR prior to Examiner action	
113	1,840*	113	1,840*	Requesting publication of SIR after Examiner action	
115	110	215	55	Extension for response within first month	
116	380	216	190	Extension for response within second month	
117	870	217	435	Extension for response within third month	
118	1,360	218	680	Extension for response within fourth month	
128	1,850	228	925	Extension for response within fifth month	
119	300	219	150	Notice of Appeal	
120	300	220	150	Filing a brief in support of an appeal	
121	260	221	130	Request for oral hearing	
138	1,510	138	1,510	Petition to institute a public use proceeding	
140	110	240	55	Petition to revive unavoidably abandoned application	
141	1,210	241	605	Petition to revive unintentionally abandoned application	
142	1,210	242	605	Utility issue fee (or reissue)	
143	430	243	215	Design issue fee	
144	580	244	290	Plant issue fee	
122	130	122	130	Petitions to the Commissioner	
123	50	123	50	Petitions related to provisional applications	
126	240	126	240	Submission of Information Disclosure Stmt	
581	40	581	40	Recording each patent assignment per property (times number of properties)	\$40.00
146	760	246	380	For filing a submission after final rejection (see 37 CFR 1.129(a))	
149	760	249	380	For each additional invention to be examined (see 37 CFR 1.129(a))	
Other fee (specify) _____					
Other fee (specify) _____					

SUBTOTAL (3) \$ 40.00

*Reduced by Basic Filing Fee Paid

SUBMITTED BY:

Typed or Printed Name: David Kaplan

Signature

Date

Reg. Number 41,105

Deposit Account User ID

(complete if applicable)